

U.S. Department of State

U.S. Mission to Indonesia

Notice of Funding Opportunity: Alumni Engagement Innovation Fund 2023

Catalog of Federal Domestic Assistance Number: 19.022

Application Deadline: February 1, 2023 at 11.59 p.m. WIB (Western Indonesia Time)/UTC +7

Award Amount: \$5,000 - \$35,000

Period of Performance: Up to 12 months

The United States Mission in Indonesia announces an open competition for past participants (“alumni”) of U.S. government-funded and U.S. government-sponsored exchange programs to submit applications to the 2023 Alumni Engagement Innovation Fund (AEIF 2023). We seek proposals from teams of at least two exchange alumni that meet all program eligibility requirements below. Exchange alumni interested in participating in AEIF 2023 should submit proposals to indonesiaalumni@state.gov by February 1, 2023 at 11.59 p.m. WIB (Western Indonesia Time.)

A. PROGRAM DESCRIPTION

AEIF provides alumni of U.S. sponsored and facilitated exchange programs with funding to expand on skills gained during their exchange experience to design and implement innovative solutions to global challenges facing their community. Since its inception in 2011, AEIF has funded over 500 alumni-led projects around the world through a competitive global competition. This year, AEIF 2023 will support United States’ commitment to the strategic partnership between Indonesia and the United States through educational, cultural, informational, and media programming that highlights shared values and promotes bilateral cooperation.

The U.S. Mission to Indonesia will accept public service projects proposed and managed by teams of at least two (2) alumni that support the following themes:

- Economic development
- Environment sustainability
- Protection of human rights
- Public health improvement
- Strengthening the role of the public in peace, security, and governance
- Preventing terrorism and countering radicalization and recruitment

Activities that are **not typically allowed** include, but are not limited to:

- Programs relating to partisan political activity;

- Construction programs;
- Programs that support specific religious activities;
- Fund-raising campaigns;
- Scientific research;
- Citizen exchange programs with foreign countries that do not have an American angle;
- Projects that include international travel;
- Projects to create or develop web-based applications or any type of publication

B. FEDERAL AWARD INFORMATION

Announcement posted: November 25, 2022

Closing date for applications: February 1, 2023 at 11.59 p.m. WIB (Western Indonesia Time.)

Funding type: Small grant awards

Decision date: No later than June 30, 2023

Expected size of individual awards: Between \$5,000 to \$35,000.

Program Performance Period: Proposed programs should be completed within a program performance period of no longer than 12 months, with program activities beginning no earlier than **August 1, 2023** and completed no later than **July 30, 2024**.

The United States Mission in Indonesia anticipates awarding either a grant or cooperative agreement depending on the needs and risk factors of the program. The final determination on award mechanism will be made by the Grants Officer. The distinction between grants and cooperative agreements revolve around the existence of “substantial involvement.” Cooperative agreements require greater Federal government participation in the project. If a cooperative agreement is awarded, U.S. Mission to Indonesia will undertake reasonable and programmatically necessary substantial involvement.

Examples of substantial involvement can include, but are not limited to:

- 1) Active participation or collaboration with the recipient in the implementation of the award.
- 2) Review and approval of one stage of work before another can begin.
- 3) Review and approval of substantive provisions of proposed subawards or contracts.
- 4) Approval of the recipient’s budget or plan of work prior to the award.
- 5) Approval of all messaging content before it is used.

C. ELIGIBILITY INFORMATION

1. Eligible Applicants:

The following individuals are eligible to apply:

- Applicants must be alumni of a U.S. government-funded exchange program (<https://alumni.state.gov/list-exchange-programs>) or a U.S. government-sponsored exchange program (<https://j1visa.state.gov/>).
- Projects teams must include teams of at least two (2) alumni.
- Alumni who are U.S. citizens may not submit proposals, but U.S. citizen alumni may participate as team members in a project.
- Alumni teams may be comprised of alumni from different exchange programs and different countries.
- Applications must be submitted by exchange alumni. Not-for-profit, non-governmental organizations, think tanks, and academic institutions are not eligible to apply in the name of the organization but can serve as partners for implementing project activities.

2. **Cost Sharing**

Inclusion of cost share is **not** a requirement of this opportunity.

3. **Grant Program Area:**

Proposals must address economic development, environment sustainability, protection of human rights, public health improvement, strengthening the role of the public in peace, security, and governance, or preventing terrorism and countering radicalization and recruitment. Proposals that do not address the theme as outlined in the program objectives will be deemed ineligible. All project activities must take place outside of the United States and its territories.

4. **Other Eligibility Requirements**

If the grant will be processed with an individual, that individual is not required to have a DUNS number or be registered in SAM.gov. However, should the grant be processed with an organization that is a partner in the project, that organization must have a unique entity identifier (Data Universal Numbering System/DUNS number from Dun & Bradstreet), as well as a valid registration on www.SAM.gov. Organizations must have a commitment to equal opportunity employment practices and to non-discrimination practices with regard to beneficiaries, without regard to race, religion, ethnicity, gender, sexual orientation, or political affiliation.

Applicants are only allowed to submit one proposal. If more than one proposal is submitted, all proposals from that alumni team will be considered ineligible for funding.

D. **APPLICATION AND SUBMISSION INFORMATION**

1. **Address to Request Application Package**

Application and budget templates are available at <https://id.usembassy.gov/education-culture/alumni/>

2. **Content and Form of Application Submission**

Applications and budgets must be submitted using the official AEIF 2023 application and budget forms. Please follow all instructions below carefully. Proposals that do not meet the requirements of this announcement or fail to comply with the stated requirements will be ineligible.

Please ensure:

- The proposal clearly addresses the goals and objectives of this funding opportunity;
- The proposal addresses all questions in the official AEIF 2023 application form;
- All documents are in English;
- The budget is in U.S. dollars and is submitted using the designated AEIF 2023 budget form;
- All pages are numbered.

The following documents and information are required:

1. **Mandatory application forms**

- a. SF-424 (Application for Federal Assistance – organizations) or SF-424-I (Application for Federal Assistance --individuals)
- b. SF424A (Budget Information for Non-Construction programs)
- c. SF424B (Assurances for Non-Construction programs)

These mandatory application forms and their instructions can be found at:

<https://www.grants.gov/forms/sf-424-family.html>

2. **Project Team Information:** At least two exchange alumni team members are required for a project to be considered for funding. Applications need to provide the name and contact information, describe the role each team member will have in the project, and their experience, qualifications, and ability to carry out that role. Applicants need to indicate what proportion of the team member's time will be used in support of the project.

3. **Proposal Summary:** A short narrative which outlines the proposed project, including challenge/s to be addressed, project objectives, and anticipated impact.

4. **Project Goals and Objectives:** The goal/s of the proposed project need to describe what the project is intended to achieve and include the objectives which support the goal/s. Objectives should be specific, measurable, and realistically achievable in a set time frame.

5. **Project Methods, Design, and Timeline:** A description of how the project is expected to work to solve the stated problem and achieve the goal/s. This should include a description of the project's direct and indirect beneficiaries as well as a plan on how to continue the program beyond the grant period, or the availability of other resources, if applicable. The proposed timeline for the project activities should include the dates, times, and locations of planned activities and events. Applicants may also submit proposed workshop or training agendas and materials.

6. **Local Project Partners:** A list of partners who will support the proposed project, if applicable.

7. **Communication Plan:** The communication plan should include a communication and outreach strategy for promoting the proposed project. It may include social media, websites, print news, or other forms of media intended to use to share information about the project to beneficiaries and the public. Communications should include AEIF 2023, Exchange Alumni, and U.S. Embassy or Consulate branding.

8. **Project Monitoring and Evaluation Plan:** The Monitoring and Evaluation component of the proposal should outline in detail how the proposal's activities will advance the program's goals and objectives. This should include any outcomes showing a change in knowledge, awareness, and attitudes; improved quality of services; increased capacity at a school, group; etc. Proposals should also include how the grantee will measure the impact of planned activities.

9. **Budget Justification Narrative:** Applicants must submit a detailed budget and budget narrative justification utilizing the template provided. Line item expenditures should be listed in the greatest possible detail. Budgets shall be submitted in U.S. dollars and final grant agreements will be conducted in U.S. dollars.

10. **Budget Restrictions:** AEIF 2023 **does not support** the following activities or costs, and the selection committee will deem applications involving any of these activities or costs **ineligible**:

- Any airfare to/from the United States and its territories
- Activities that take place in the United States and its territories
- Staff salaries, office space, and overhead/operational expenses
- Large items of durable equipment or construction programs
- Alcohol, excessive meals, refreshments, or entertainment
- Academic or scientific research
- Charitable or development activities
- Provision of direct social services to a population
- Individual scholarships
- Social travel/visits
- Gifts or prizes
- Duplication of existing programs
- Institutional development of an organization
- Venture capital, for-profit endeavors, or charging a fee for participation in project
- Support for specific religious activities
- Fund-raising campaigns
- Support or opposition of partisan political activity or lobbying for specific legislation

E. REVIEW AND SELECTION PROCESS

Evaluation Criteria: The U.S. Embassy or Consulate Public Affairs Sections will use the criteria outlined below to evaluate all applications. The proposals will be reviewed by a Selection Committee made up of regional and exchange program experts located at the Department of State in Washington, DC. Panelists will use the criteria below to review and evaluate applications.

Relevance to Application Theme

The proposal provides sufficient information on how the activities will support the theme(s) of the competition. The narrative explains any relevant local context the D.C. Selection Committee may not be aware of in relation to this project. Proposed project ideas must be public diplomacy in nature (i.e. not development or military).

Purpose and Summary, Description, and Implementation Plan

When developing the purpose, summary, description, and implementation plan, applicants should aim to make all descriptions clear, concise, and compelling. Reviewers will judge the proposals based on the likelihood for the project to exert a sustained, powerful influence on the community where it is undertaken. Does the project address an important gap of understanding or need? If the aim of the project is achieved, how will existing knowledge or practice be improved? What audience do the applicants hope to reach with this project? How many will participate? How will they be selected?

Degree of Alumni Involvement

Projects must include the involvement of at least two (2) exchange alumni. More than two alumni may comprise the team, however, the minimum is two. Alumni may be the project team leaders or members, and may collaborate directly with PAS in formulating the project. As the team leaders, the alumni must be closely involved in project planning, implementation, etc. Applicants should ensure that the proposal includes the following information for each alumni team member: first name, last name, e-mail address, exchange program, country of citizenship, and roles and responsibilities.

Participation and Support from Local Partners

The proposal demonstrates buy-in and support from the community where the project will take place. Local partner involvement is a strong sign that there is community support and that the project will engage a broad array of experts, such as subject matter experts, community centers, academic institutions, businesses, local/national government, non-governmental organizations, American Spaces, etc.

Evaluation and Impact of the Project

A monitoring & evaluation (M&E) plan is pivotal to project implementation and important tracking progress towards the project's objectives and goals. An M&E plan should consider the data needed to effectively monitor progress toward specific outputs and outcomes as well as how that data collection will be accomplished. Well-crafted indicators should be used to understand a program's progress toward the desired results. An M&E plan should be reviewed for the following:

- Completion
- Applicability and logic of objectives and indicators
- Clear approach to monitoring
- Adherence to SMART criteria
- Feasibility of baselines and targets
- Data quality plan
- Capacity to implement plan

Sustainability

Have the applicants considered how the project will continue to have positive impact after the end of the project.

Communication, Media, and Outreach Plan

The project should include a clear plan and timeline for how and when the team will share information about the project. It is important to ensure that the U.S. Embassy gets recognition throughout the process, if circumstances permit.

Budget and Budget Narrative

The budget and narrative justification are sufficiently detailed. Costs are reasonable in relation to the proposed activities and anticipated results. The budget is realistic, accounting for all necessary expenses to achieve proposed activities. The results and proposed outcomes justify the total cost of the project. Budget items are reasonable, allowable, and allocable.

Disclaimer: This notice is subject to availability of funding. U.S. Mission to Indonesia does not guarantee availability of funding by receiving applications under this announcement. **Only successful applicants will be contacted.**

F. FEDERAL AWARD ADMINISTRATION INFORMATION

The grant award will be written, signed, awarded, and administered by the Grants Officer. The assistance award agreement is the authorizing document and it will be provided to the recipient for review and signature by email. The recipient may only start incurring program expenses beginning on the start date shown on the grant award document signed by the Grants Officer.

If a proposal is selected for funding, the Department of State has no obligation to provide any additional future funding. Renewal of an award to increase funding or extend the period of performance is at the discretion of the Department of State.

Issuance of this NOFO does not constitute an award commitment on the part of the U.S. government, nor does it commit the U.S. government to pay for costs incurred in the preparation

and submission of proposals. Further, the U.S. government reserves the right to reject any or all proposals received.

Payment Method: Payments will be made in installments, as needed to carry out the program activities.

Reporting Requirements: Reporting Requirements: Reporting Requirements: Recipients will be required to submit financial reports and program reports. The Federal Financial Report (FFR or SF-425) is the required form for the financial reports. The award document will specify how often these reports must be submitted and what information the narrative report should contain.

The U.S. Mission to Indonesia reserves the right to request any additional programmatic and/or financial project information during the award period.

A final narrative and financial report must also be submitted within 90 days after the expiration of the award.

Please note: Delays in reporting may result in delays of payment approvals and failure to provide required reports may jeopardize the recipient's' ability to receive future U.S. government funds.

G. FEDERAL AWARDING AGENCY CONTACTS

For technical submission questions related to this NOFO, please contact indonesiaalumni@state.gov